



2 Tool 1

VERSION 4
MARCH 2023

SOCIAL WAY 3.0 – SECTION 2. REVIEW AND PLANNING

SCREENING TOOL

For more information or to give feedback on this document please contact socialperformance@angloamerican.com

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SCREENING TOOL

The table below is a tool that sites should use to determine which of the topics covered in Section 4 should be screened in.

The table explains the factors that make some of the Section 4 topics mandatory (i.e. always screened in). These are: Socio-Economic Development (4A); Contractor Social Management (4B); Community Health and Safety (4C); Emergency Management Planning for Site-Induced Emergencies with Off-Site Impacts (4D); Security Management and the Voluntary Principles on Security and Human Rights (4E). For the ‘non-mandatory’ topics, the trigger factors presented should be considered by sites to determine which topics should be screened in. These are: Land Access, Displacement and Resettlement (4F); Site-Induced Migration (4G); Cultural Heritage (4H); Indigenous Peoples (4I); Conflict Management (4J); and Artisanal and Small-Scale Mining (4K).

The purpose of screening is for sites to determine whether there are or there could be potential impacts on external stakeholders and/or risks to the business associated with these topics. The purpose of screening is not to describe what such impacts or risks might be, assess their significance, define controls or monitoring and evaluation requirements. That is done through the Social and Human Rights Impact and Risk Analysis (SHIRA) process using the guidance provided on each topic in Section 4.

Note that even though a topic is screened in, the risks and/or impacts related to the topic may not be significant. At the same time, the baseline WRAC should not include impacts and/or risks related to a topic that is not screened in, even if these are rated low.

Sites should fill out the relevant sections of the table below and indicate, for each topic, whether it is screened in or out, and provide their reason for the screening decision. Where there is doubt, it is recommended that sites take a precautionary approach and screen a topic in until they can determine through the context review and SHIRA process (using the relevant Section 4 guidance) that impacts related to the topic in question are negligible. Where possible sites are recommended to

involve their business partner from the Group Social Performance team in the screening process to help provide guidance on complicated or nuanced areas.

The approach to managing impacts and risks for screened-in topics is commensurate to risk. Standalone management plans are not necessarily required for all screened-in topics; if the risks/impacts are rated low, the approach to managing them can be integrated into the Social Management Plan. The assurance process applies to every screened-in topic.

Topic	Trigger factors	Source of information	Screening decision	Contextual relevance / Materiality
MANDATORY SECTION 4 REQUIREMENTS				
4A Socio-economic Development	Company requirements for SED exist (Group or BU) Legal/regulatory requirements for SED exist SED commitments have been made to external stakeholders SED projects have been identified as controls in SHIRA	<ul style="list-style-type: none"> Group or BU policies or commitments pertaining to SED, including the Sustainable Mining Plan Life of Asset Plan's section on Sustainable Mine Plan Local laws and regulations pertaining to SED Commitments register: to identify if any SED commitments were made to external stakeholders SHIRA/ baseline WRAC of previous years: to identify if SED projects have been identified as controls 	Socio-Economic Development is screened in for all sites as there are Group commitments for SED applicable to all sites, such as the Sustainable Mining Plan	<ul style="list-style-type: none"> What are the expectations amongst external stakeholders regarding our delivery of socio-economic development? What are the levels of dependence by external stakeholders on our socio-economic development projects? To what extent is our operation's 'value proposition' with external stakeholders reliant on socio-economic development projects?
4B Contractor Social Management	The site uses or is planning to use contractors	<ul style="list-style-type: none"> Overview of site's supply chain 	Contractor Social Management is screened in for all sites as all sites use contractors	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are caused by contractors? How significant are the social performance related risks and impacts related to contractors

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	<p><i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i></p>	<p><i>Potential sources of information to identify trigger factors.</i></p>	<p><i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i></p>	<p><i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i></p>
<p>4C Community Health and Safety</p>	<p>Legal requirements for community health impact assessment exist Community or stakeholder concerns about potential health and safety impacts from site activities exist.</p> <p>There are site activities (including at associated and ancillary facilities such as transmission lines, rail lines, roads, and ports) that could result in changes to: environmental or social determinants of health including aspects of the physical environment such as air, water, or soil quality, or noise; natural resources used by communities e.g. land use, topography, water bodies; access to services or economic opportunities for communities, among others (see 4C tool 2 for a list of health determinants).</p> <p>Site stores, transports, handles or produces solid wastes or hazardous materials that could impact nearby communities' health and safety</p> <p>Site related journeys are taking place on public roads, potentially increasing the risk of road traffic incidents involving community members with potential for short/long term health consequences resulting from injuries sustained. In addition, there is potential that</p>	<ul style="list-style-type: none"> • Laws and regulations pertaining to community health and safety, environmental health or impact assessment • SHIRA/baseline WRAC of previous years and any project or closure specific impact and risk registers: these may already include community health and safety-related issues • Life of Asset Plan: identification of current or planned site activities that could impact on determinants of health and community health and safety • Incident and grievance records: to identify whether grievances were raised, or incidents occurred related to community health and safety • Stakeholder engagement records: to identify whether concerns or issues were raised in relation to potential community health and safety impacts • Review of other specialist studies or reports including environmental and social scoping studies or similar 	<p>Community health and safety is screened in for all sites as:</p> <ul style="list-style-type: none"> • All sites have the potential to influence at least one, and most likely various, determinants of health that may lead to community health and safety impacts and risks 	<ul style="list-style-type: none"> • – what are the ratings as recorded in the SHIRA and ORM processes? • What proportion of our SED projects rely on contractors for co-delivery? • What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to community health and safety issues? • How significant are the social performance related risks and impacts related to community health and safety – what are the ratings as recorded in the SHIRA and ORM processes? • To what extent does our pathway to deliver the Sustainable Mining Plan health goal rely on managing community health and safety impacts as recorded in the SHIRA and ORM processes?

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	<p><i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i></p> <p>the community may interact or be exposed to site mobile equipment (in any form). There is potential for release of pollutants or any other hazardous, toxic or noxious substances with the potential to affect the physical environment and human health within the sites' Areas of Influence. Site includes a Mineral Residue Facility, including Tailings Dam and Water Storage facility that could fail. There are site activities and/or worker accommodation arrangements that could increase exposure of community members to the workforce, including contractors. This could lead to increased pressure on local housing leading to overcrowding and potential spread of respiratory disease (TB, Covid-19), sexually transmitted infections due to inappropriate interactions or fraternization of workforce with the community, increase in social ills such as alcoholism, commercial sex work with the potential for spread of disease and violence/ crime, and altered social dynamics in the community. Site-induced in-migration exists (with the possibility of leading to community health and safety impacts). Resettlement and/or displacement exists (with the possibility of leading to community health and safety impacts). There is a complex socio-economic context including high levels of systemic vulnerability relating to: poverty, unemployment, weak education, gender</p>	<p><i>Potential sources of information to identify trigger factors.</i></p> <ul style="list-style-type: none"> World Bank, or similar national reports, on socio-economic and health challenges 	<p><i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i></p>	<p><i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i></p>

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	<p><i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i></p> <p>disparities, food insecurity, areas prone to natural disasters, weak governance, conflict, refugees or internally displaced people, marginalised indigenous people or ethnic groups, inequality and human rights concerns.</p> <p>The health system in the sites Area of Influence is weak, or poorly capacitated to anticipate, plan and/or respond to potential changes in public health requirements, increased demands or a shifting focus related to changing priorities induced by the site's presence/activities.</p> <p>There is a high burden of non-communicable diseases linked to lifestyle and well-being factors, coupled with a health system or social care structure that may be inadequately capacitated to address health promotion or disease consequences.</p> <p>Poor basic services in Area of Influence including inadequate water, sanitation or hygiene services.</p> <p>There are areas within the site's Area of Influence that have a high incidence of communicable disease, or are prone to communicable diseases outbreaks or epidemics.</p>	<p><i>Potential sources of information to identify trigger factors.</i></p>	<p><i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i></p>	<p><i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i></p>
<p>4D Emergency Management Planning for Site-Induced Emergencies with Off-Site Impacts</p>	<p>There are site activities (including at associated and ancillary facilities such as transmission lines, rail lines, roads, and ports) that could result in an emergency that can impact on local communities. Such activities may include but are not limited to:</p> <ul style="list-style-type: none"> Off-site, public road traffic accidents 	<ul style="list-style-type: none"> Life of Asset Plan: information about existing or planned site activities that could result in an emergency impacting on local communities SHIRA/ baseline WRAC of previous years: this may already 	<p><i>Emergency Management Planning for Site-Induced Emergencies with Off-Site Impacts is screened in for all sites, as all sites use some form of off-site public road transportation that could result in emergency situations</i></p>	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to emergency issues? How significant are the social performance related risks and

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	<ul style="list-style-type: none"> Mineral Residue Facility, including Tailings Dam and Water Storage facility failure Waste rock dump failure Pipeline failure Spill of hazardous materials being transported to/from site (via road, rail, sea, air) Spill of hazardous materials on site (including at associated facilities) Ground subsidence Fire (starting on site) Accidental atmospheric releases Explosions Blasting accidents 	<p>include site-induced emergencies that may impact on communities</p> <ul style="list-style-type: none"> Incident and grievance records: to identify whether grievances were raised, or incidents occurred in relation to emergencies that could impact on communities Stakeholder engagement records: to identify whether concerns or issues were raised in relation to potential emergencies that could impact communities Emergency Preparedness and Response Plans of previous years: this may already include examples of potential emergencies that could impact on local communities 		<p>impacts related to emergencies – what are the ratings as recorded in the SHIRA and ORM processes?</p> <ul style="list-style-type: none"> How significant is the consequence of a potential tailings dam break emergency (where relevant)? How remote are external stakeholders from the site / emergency locations?
<p>4E Security Management and the Voluntary Principles on Security and Human Rights</p>	<p>Company requirement for VPSHR exists The site relies on public security forces and/or private security services for site security</p>	<ul style="list-style-type: none"> Group or BU policies or commitments in relation to the VPSHRs Internal context review: information related to site security provision 	<p><i>Security Management and the Voluntary Principles on Security and Human Rights is screened in for all sites as all sites rely on public and/or private security services and a Group commitment to comply with the Voluntary Principles on Security and Human Rights exists</i></p>	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to interactions between public / private security and external stakeholders? How significant are the social performance related risks and impacts related to security interactions with external stakeholders – what are the ratings as recorded in the SHIRA and ORM processes? What is the precedent for human rights related security

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NON-MANDATORY SECTION 4 REQUIREMENTS (screening decision needed by site)				
4F Land Access, Displacement and Resettlement	<p>Life of Asset Planning (LoAP) indicates that additional footprint is required in future. This footprint may be required for exploration, expansion, construction, maintenance, access corridors or other site activities. This remains a trigger even if the site has existing rights over the land onto which the footprint will expand.</p> <p>Additional land must be acquired by the site over the life of the asset. This remains a trigger even if land is acquired by means of a commercial transaction.</p> <p>Other projects related to the site's operation, such as road upgrades and establishment of community infrastructure, require additional land access, including projects funded by Anglo American. Environmental, health and safety impact monitoring indicate continuous exceedances in proximity to social receptors such as communities, land used for livelihood purposes, schools, clinics and other social and community services, which cannot be mitigated further through other controls.</p> <p>Environmental, health and safety buffer zones (such as blasting exclusion zones) of</p>	<ul style="list-style-type: none"> • Laws, regulations, and international standards regarding safety buffers around certain activities or infrastructure • Life of Asset Plan: understanding of planned activities that potentially trigger land take or introduction of significant noise, air or vibration impacts • External context: location of settlements and households and land and natural resource use in site's Area of Influence • SHIRA/ baseline WRAC of previous years: this may already include an identification of land access, displacement, and/or resettlement-related risks and/or impacts • Incident and grievance records: to identify whether grievances were raised and/or incidents occurred in relation to land access, land rights, damage to properties, impacts on 	<ul style="list-style-type: none"> • Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which will be defined and prioritised during the scoping process and impact assessment process; • Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to improve site understanding of the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process. • The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<ul style="list-style-type: none"> • To what extent does the Business Plan and / or Life of Asset Plan rely on LADAR related activities? • What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to LADAR issues? • How significant are the social performance related risks and impacts related to LADAR – what are the ratings as recorded in the SHIRA and ORM processes? • What is the volume and vulnerability of the potentially affected people?

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	<p>current or planned future operations overlap with the location of social receptors..</p> <p>The inundation zone of Tailings Storage Facilities overlaps with or comes close to the location of social receptors. The site may be required, under Anglo American commitment to the Global Industry Standard on Tailings Management, to conduct preventative resettlement of households at risk of inundation.</p> <p>Community stakeholders raise repeated grievances regarding physical impacts resulting from the site's presence or activities (e.g. linked to noise, vibration, dust, safety concerns) with no downward trend in grievances received over time relating to the same issue despite efforts to address them through other corrective actions/controls.</p> <p>Community stakeholders have requested relocation or compensation as a result of (real or perceived) mining impacts experienced.</p> <p>The site's water use is likely to impact negatively on the quality or quantity of water available for households' use, livelihoods etc.</p>	<p>livelihoods, previous or ongoing resettlement projects, etc.</p> <ul style="list-style-type: none"> Stakeholder engagement records: to identify whether concerns or issues were raised in relation to land access, land rights, damage to properties, impacts on livelihoods, etc 		

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	<p>The site is currently in the process of planning or implementing a resettlement project resulting from temporary or permanent physical or economic displacement</p> <p>The site has implemented a resettlement (physical or economic displacement) project in the past but the resettlement project has not been closed-out or there are ongoing unresolved impacts and grievances relating to past resettlement and compensation practices</p>			
4G Site-induced Migration	<p>Site characteristics or activities that may encourage site-induced migration (i.e. in-migration and/or out-migration) exist or are reasonably predicted, such as:</p> <ul style="list-style-type: none"> • Ramp-up in activities likely to trigger significant increase in direct and indirect employment – the higher the number of jobs, the more likely migrants will be attracted to the area • Site accessibility – where a site is easily accessible (or becomes more accessible because of planned site- 	<ul style="list-style-type: none"> • Life of Asset: understanding of future ramp-up/down of site-activities with corresponding significant increases/decreases in employment • External context review: understanding of recent population growth rates, economic conditions, employment, presence of other mine sites or industrial developments 	<ul style="list-style-type: none"> • Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which will be defined and prioritised during the scoping process and impact assessment process; • Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to improve site understanding of 	<ul style="list-style-type: none"> • What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to SIM? • How significant are the social performance related risks and impacts related to SIM – what are the ratings as recorded in the SHIRA and ORM processes?

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	<p><i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i></p> <ul style="list-style-type: none"> related infrastructure) it is more likely that migrants move into the area Large scale resettlement Significant ramp-up in SED activities and community investment - particularly in areas with low government services and infrastructure, SED projects may attract opportunistic in-migrants Closure and/or care and maintenance planning, or other operational changes that lead to significantly reduced workforce numbers, which may cause out-migration Exploration or closure activities attracting significant influx of Artisanal and Small scale Miners Data show a material change in population (above the regional / national averages) in the Area of Influence since the site moved into operation and / or over a recent period in the operation's history. <p>External characteristics likely to contribute to site-induced migration, such as:</p> <ul style="list-style-type: none"> Lack of capacity and/or supply of local labour, creating a need to recruit from outside the Area of Influence and bring people into the local area High unemployment in the Area of Influence and neighbouring regions Presence of other mine/industrial projects within or near site's Area of Influence 	<p><i>Potential sources of information to identify trigger factors.</i></p> <ul style="list-style-type: none"> External context review: existing local infrastructure and services absorptive capacity to manage in or out migration SHIRA/baseline WRAC of previous years: this may already include an identification of impacts and risks related to site-induced migration Incident and grievance records: to identify whether grievances related to site-induced migration were raised and/or whether incidents related to site-induced migration occurred Stakeholder engagement records: to identify whether issues or concerns relating to site-induced migration were raised 	<p><i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i></p> <p>the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process.</p> <ul style="list-style-type: none"> The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<p><i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i></p>

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	<ul style="list-style-type: none"> History of ASM in the Area of Influence or region Lack of economic diversification in the Area of Influence (increases likelihood of out-migration at closure) 			
4H Cultural Heritage	<p>Tangible or intangible cultural heritage exists or is likely to exist within the site's Area of Influence, including historical, archaeological, paleontological resources, natural resources with cultural significance, or established cultural practices, norms and customs.</p> <p>Independent of whether cultural heritage is screened in or out, all sites are required to have a Chance Find Procedure. Guidance on the content of the Chance Find Procedure can be found in Section 4H on Cultural Heritage</p>	<ul style="list-style-type: none"> Life of Asset Plan: understanding of any site expansion activities into areas with known/potential tangible or intangible cultural heritage External context review: information about tangible or intangible cultural heritage sites in the site's Area of Influence SHIRA/Baseline WRAC of previous years: this may already include an identification of impacts and risks related to cultural heritage Incident and grievance reports: to identify whether grievances were raised in relation to cultural heritage and/or incidents occurred in relation to cultural heritage Stakeholder engagement reports: to identify whether issues or concerns relating to cultural heritage were raised 	<ul style="list-style-type: none"> Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which will be defined and prioritised during the scoping process and impact assessment process; Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to improve site understanding of the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process. The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to cultural heritage? How significant are the social performance related risks and impacts related to cultural heritage – what are the ratings as recorded in the SHIRA and ORM processes? What is the precedent for high value / sensitivity chance finds?
4I Indigenous Peoples	<p>Presence of indigenous people or indigenous people's land within the site's Area of Influence</p> <p>Where it is not clear whether a local community is indigenous, where there are</p>	<ul style="list-style-type: none"> External context review: information about the location of indigenous communities and their land, identified through review of 	<ul style="list-style-type: none"> Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which 	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes,

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	<p><i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i></p> <p>conflicting opinions about whether a local community is indigenous, or whether indigenous peoples' lands (whether officially recognised or not) are located within the site's Area of Influence, sites should use the guidance provided in Section 4I Task 1 to determine whether the local community is Indigenous and take a precautionary approach</p>	<p><i>Potential sources of information to identify trigger factors.</i></p> <p>relevant literature, including legal/court decisions, and government, NGO, and UN reports, and engagements with key stakeholders</p> <ul style="list-style-type: none"> Life of Asset Plan: understanding of planned site activities that could extend into indigenous peoples' land 	<p><i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i></p> <p>will be defined and prioritised during the scoping process and impact assessment process;</p> <ul style="list-style-type: none"> Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to improve site understanding of the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process. The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<p><i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i></p> <p>are related to indigenous peoples issues?</p> <ul style="list-style-type: none"> How significant are the social performance related risks and impacts related to indigenous peoples issues – what are the ratings as recorded in the SHIRA and ORM processes? Is Free Prior and Informed Consent relevant for the site?: <ul style="list-style-type: none"> Was the site in construction prior to 1st January 2013 Are operational activities located on lands traditionally owned or under customary use by indigenous people? Do our operations have a significant adverse impact on indigenous people (including cultural heritage)?
<p>4J Conflict Management</p>	<p>There is an existing or emerging hostile relationship between site and external stakeholders and/or between external stakeholders that:</p> <ul style="list-style-type: none"> cannot be resolved through conventional engagement techniques; and, has resulted in a clear expression of hostility, aggression and/or refusal to engage by external stakeholders; or, 	<ul style="list-style-type: none"> Life of Asset Plan: site activities or projects that could cause or contribute to conflict External context review: information about existing conflict or the potential for conflict in the site's Area of Influence SHIRA/Baseline WRAC of previous years: this may already include an identification of impacts and risks related to conflict 	<ul style="list-style-type: none"> Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which will be defined and prioritised during the scoping process and impact assessment process; Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to 	<ul style="list-style-type: none"> How significant are the social performance related risks and impacts associated with conflict issues – what are the ratings as recorded in the SHIRA and ORM processes? What proportion of external stakeholders are affected by the conflict and to what extent does it inhibit us from

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	<i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i>	<i>Potential sources of information to identify trigger factors.</i>	<i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i>	<i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i>
	<ul style="list-style-type: none"> there are clear indications of escalation of hostilities e.g. threats, repeat protests or withdrawal from consultation platforms by certain stakeholders or groups 	<ul style="list-style-type: none"> Incident and grievance reports: to identify whether there have been incidents involving the local community that involved aggression or hostility against site workers or damage to site property by external stakeholders, and to identify whether grievances were raised that could indicate a state of conflict Stakeholder engagement reports: to identify whether there have been escalations, hostility, aggression, or withdrawal by external stakeholders in the past 	<p>improve site understanding of the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process.</p> <ul style="list-style-type: none"> The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<p>conducting other social performance activities?</p>
4K Artisanal and Small-Scale Mining (ASM)	<p>Current or recent (1-5 years) presence of artisanal/small-scale mining within the site's Area of Influence (in any status or form, legal/illegal, formal/informal) Conditions exist in the internal and external context that are likely to attract artisanal/small scale miners.</p>	<ul style="list-style-type: none"> External context review: information about ASM being present within or adjacent to the Mineral License Area/Mining Lease and/or on land owned by the site (including for supporting services and infrastructure); information about whether ASM exists within the Area of Influence Life of Asset Plan: information about planned site activities in areas where ASM are present SHIRA/ baseline WRAC of previous years: this may already include risks and impacts related to ASM Incident and grievance reports: to identify whether grievances relating to ASM were raised and/or 	<ul style="list-style-type: none"> Topic may be screened in based on evidence of actual impacts and risks occurring (trigger factors identified) which will be defined and prioritised during the scoping process and impact assessment process; Alternatively, the topic may be screened in based on the potential for impacts and risks occurring and the need to improve site understanding of the topic. In this scenario, the scoping process will confirm the need or otherwise for the impact assessment process. The scoping report with justification of approach should be reviewed and signed off by Group Social Performance. 	<ul style="list-style-type: none"> What proportion of social performance related risks and impacts, as identified in the SHIRA and ORM processes, are related to ASM? How significant are the social performance related risks and impacts related to ASM – what are the ratings as recorded in the SHIRA and ORM processes? To what extent does ASM occur in areas that are critical to our operational activities or are owned by our site?

Topic	Trigger factors <i>Trigger factors for screening a topic in. Not all trigger factors have to be present for a topic to be screened in.</i>	Source of information <i>Potential sources of information to identify trigger factors.</i>	Screening decision <i>Indicate whether the topic is screened in or out and explain why based on the presence/absence of trigger factors</i>	Contextual relevance / Materiality <i>(Where screened in - indicate how significant are risks, impacts and opportunities related to this issue)</i>
		whether incidents related to ASM occurred <ul style="list-style-type: none"> Stakeholder engagement records: to identify whether ASM stakeholders have been engaged with in the past, or whether concerns or issues related to ASM were raised 		